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# NOTICE OF ALLOWANCE AND FEE(S) DUE

00109

7590

03/09/2006

THE DOW CHEMICAL COMPANY INTELLECTUAL PROPERTY SECTION P. O. BOX 1967 MIDLAND, MI 48641-1967 EXAMINER
NAZARIO GONZALEZ, PORFIRIO

PAPER NUMBER

ART UNIT

DATE MAILED: 03/09/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/520,378      | 01/03/2005  | David D. Graf        | 62386B              | 5141             |

TITLE OF INVENTION: GROUP 4 METAL COMPLEXES CONTAINING 4-ARYL-SUBSTITUTED, TRICYCLIC INDENYL DERIVATIVES

| APPLN. TYPE    | APPLN. TYPE SMALL ENTITY |        | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------------------|--------|-----------------|------------------|------------|
| nonprovisional | NO                       | \$1400 | \$300           | \$1700           | 06/09/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents
P.O. Box 1450
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or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address: and/or (b) indicating a separate "FEE ADDRESS" for

| maintenance fee notification   |   | in block 1, by (a          | , specifying  | a new c                           | orrespondence address   | , and or (o) indicating a sep  | arate TEE ADDICESS 101       |
|--|---|----------------------------|---|-----------------------------------|---|--|------------------------------|
| CURRENT CORRESPONDENC  | any change of address)  |                            |   | Fee(s) Transmittal Th             | mailing can only be used for<br>is certificate cannot be used<br>al paper, such as an assignment<br>of mailing or transmission. | for any other accompanying   |                              |
| 00109 75   | 90 03/09/2006   |                            |   |                                   | nave its own certificat   | e of maining of transmission.  |                              |
| THE DOW CHE  | MICAL COMPANY   |                            |   |                                   | Cer   | rtificate of Mailing or Trans  | imission                     |
|  |   |                            |   |                                   | States Postal Service   | nis Fee(s) Transmittal is bein<br>with sufficient postage for fir<br>1 Stop ISSUE FEE address<br>TO (571) 273-2885, on the c | st class mail in an envelope |
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| P. O. BOX 1967<br>MIDLAND, MI 48   | 641-1967  |                            |   |                                   | transmitted to the USP  | TO (571) 273-2885, on the c  | (Depositor's name)           |
|  |   |                            |   |                                   | -   |  | (Signature)                  |
|  |   |                            |   |                                   |   |  | (Date)                       |
| APPLICATION NO.  | FILING DATE   |                            | FIRST NAME  | D INVEN                           | TOR   | ATTORNEY DOCKET NO.  | CONFIRMATION NO.             |
| 10/520,378   | 01/03/2005  |                            | David   | D. Graf                           |   | 62386B   | 5141                         |
| •  |   | XES CONTAININ              |   |                                   | TUTED, TRICYCLIO  | CINDENYL DERIVATIVES   |                              |
| APPLN. TYPE  | SMALL ENTITY  | ISSUE FE                   | EE  | PU                                | JBLICATION FEE  | TOTAL FEE(S) DUE   | DATE DUE                     |
| nonprovisional   | МО  | \$1400                     |   |                                   | \$300   | \$1700   | 06/09/2006                   |
| EXAM   | IINER   | ART UN                     | T   | CI                                | ASS-SUBCLASS  | ]  |                              |
| NAZARIO GONZ   | ALEZ, PORFIRIO  | 1621                       |   |                                   | 556-011000  |  |                              |
| I. Change of correspondence  | e address or indication of "Fe                                | e Address" (37             | 2. For prir   | nting on 1                        | the patent front page, li   | st   |                              |
| CFR 1.363).  |   |                            | (1) the na  | mes of u                          | ip to 3 registered pater  | nt attorneys 1   |                              |
| ☐ Change of correspond   | lence address (or Change of 22) attached.                     | Correspondence             | or agents   | ,                                 | • •   | _  |                              |
| _  |   |                            | (2) the na  | me of a s                         | single firm (having as  | a member a 2   |                              |
| PTO/SB/47; Rev 03-02 of Number is required.  | ion (or "Fee Address" Indica<br>or more recent) attached. Use | tion form<br>of a Customer | 2 registered<br>listed, no  | attorney<br>ed patent<br>name wil | or agent) and the nam<br>attorneys or agents. If<br>Il be printed.  | no name is 3   |                              |
| 3. ASSIGNEE NAME AND   | RESIDENCE DATA TO B   | E PRINTED ON T             | HE PATENT   | Γ (print o                        | or type)  |  |                              |
| PLEASE NOTE: Unless  | an assignee is identified be                                  | low, no assignee           | lata will app   | ear on th                         | he patent. If an assign   | nee is identified below, the d   | ocument has been filed for   |
|  |   |                            |   |                                   | -   |  |                              |
| (A) NAME OF ASSIGN   | EE  |                            | (B) KESIDE  | ence: (C                          | CITY and STATE OR (   | LOUNTRY)   |                              |
|  |   |                            |   |                                   |   |  |                              |
| Please check the appropriate   | assignee category or catego                                   | ries (will not be pri      | nted on the p   | atent):                           | ☐ Individual ☐ C  | orporation or other private gro  | oup entity Government        |
| a. The following fee(s) are  | enclosed:   | 4b.                        | . Payment of  | Fee(s):                           |   |  |                              |
| ☐ Issue Fee  |   |                            | A check in the amount of the fee(s) is enclosed.  |                                   |   |  |                              |
| ☐ Publication Fee (No small entity discount permitted)   |   |                            | Payment by credit card. Form PTO-2038 is attached.  |                                   |   |  |                              |
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| _  | (from status indicated above                                  |                            | _   |                                   | <u> </u>  | · ,  |                              |
|  | MALL ENTITY status. Sec                                       |                            |   |                                   |   | LL ENTITY status. See 37 C   |                              |
| The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in nterest as shown by the records of the United States Patent and Trademark Office.   |   |                            |   |                                   |   |  |                              |
|  |   |                            |   |                                   |   |  |                              |
| Authorized Signature   |   |                            |   | -                                 |   |  |                              |
| Typed or printed name Registration No  |   |                            |   |                                   |   |  |                              |
| This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) in application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.  Juder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. |   |                            |   |                                   |   |  |                              |
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| 10/520,378 01/03/2005           |                 | David D. Graf                  | 62386B              | 5141             |
| 00109 7                         | 590 03/09/2006  | EXAMINER                       |                     |                  |
| THE DOW CHE                     | MICAL COMPANY   | NAZARIO GONZALEZ, PORFIRIO     |                     |                  |
|                                 | PROPERTY SECTIO | ART UNIT                       | PAPER NUMBER        |                  |
| P. O. BOX 1967<br>MIDLAND, MI 4 | 8641-1967       | 1621<br>DATE MAILED: 03/09/200 | 6                   |                  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.